

DSP BlackRock RGESS* Fund - Series 1

(A close ended equity scheme which shall invest in eligible securities as per
*Rajiv Gandhi Equity Savings Scheme, 2012)

APPLICATION FORM

Please read instructions before filling this Form

DSP BLACKROCK
MUTUAL FUND

Opens: February 14, 2013 Closes: February 28, 2013

Distributor Name and ARN	Sub Broker ARN Code	Employee Unique ID. No. (EJIN) (Refer note below)	For Office use only
ARN-97821 Distributor Contact No:		E113814	

Investors should mention the EJIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor: "We hereby confirm that the EJIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

1. TRANSACTION CHARGES (Please refer instruction 1 and tick any one)

Applicable for transactions routed through a distributor who has 'opted in' for transaction charges.

- ☐ I am a First Time Investor in Mutual Fund Industry.
(Rs 150 will be deducted.)
- ☐ I am an Existing Investor in Mutual Fund Industry.
(Rs 100 will be deducted.)

2. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN Card) Gender ☐ Male ☐ Female Title ☐ Mr. ☐ Ms. ☐ M/s

Existing Folio Number

Date of Birth (Mandatory for minor) D D / M M / Y Y Y Y

PAN (1st Applicant / Guardian)

Enclose ☐ KYC Acknowledgement

For Investments "On behalf of Minor"

(*Attach Mandatory Documents as per Instructions).

Proof of DoB ☐ Birth Certificate ☐ School Certificate / Mark sheet attached ☐ Passport ☐ Any other

Guardian named below is : ☐ Father ☐ Mother ☐ Court Appointed*

Name of Guardian if minor / Contact Person for non-individuals / PoA Holder name: PoA PAN* ☐ KYC*

Correspondence Address *PoA PAN & KYC is mandatory

Landmark

City Pin Code (Mandatory) State

Status of Sole/1st Applicant (Please tick ✓) ☐ Resident Individual ☐ On Behalf Of Minor ☐ HUF ☐ Sole Proprietorship ☐ NRI (Repatriable)
☐ NRI (Non-Repatriable) ☐ LLP ☐ Partnership Firm ☐ Company ☐ AOP/BOI ☐ Body Corporate ☐ Trust ☐ Society ☐ FII ☐ FOF - MF schemes ☐ Provident Fund
☐ Superannuation / Pension Fund ☐ Gratuity Fund ☐ Bank / FI ☐ Government Body ☐ Insurance Companies ☐ Others (Please specify)

DSPBR eServices Email ID (in capital)

DSPBR eSMS Mobile +91 Fax

STD Code Tel. (Off) Tel. (Resi.)

DSPBR Online PIN (Please tick ✓) ☐ Yes, I wish to have a PIN for internet / telephone transactions and agree to terms and conditions of PIN Issuance and Usage as available in SID/SAI and www.dspblackrock.com

☒ Email ID, Date of Birth, Mobile Number, PAN are mandatory details for issuance of PIN and Online Facility.

3. JOINT APPLICANTS' DETAILS

Mode of Holding (Please tick ✓) ☐ Joint (Default) ☐ Anyone or Survivor ☐ Single

Name of Second Applicant (Should match with PAN Card) Title ☐ Mr. ☐ Ms. ☐ M/s

PAN (2nd applicant) Enclose ☐ KYC Acknowledgement

Name of Third Applicant (Should match with PAN Card) Title ☐ Mr. ☐ Ms. ☐ M/s

PAN (3rd applicant) Enclose ☐ KYC Acknowledgement

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

DSP BLACKROCK MUTUAL FUND

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

ARN-97821 Application No.

From	Cheque no.	Date	Amount	Scheme
				DSP BlackRock RGESS Fund - Series 1

4. BANK ACCOUNT DETAILS (Refer Instruction 4)

Bank Name									
Bank A/C No.					A/C Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others				
Branch Address									
					City		Pin		
IFSC code: (11 digit)					MICR code (9 digit) (This is a 9 digit number next to your cheque number)				

5. INVESTMENT AND PAYMENT DETAILS (Refer Instruction 5)

(Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy in scheme details)

Scheme DSP BlackRock RGESS Fund - Series 1		Plan	Option/Sub Option
Payment Mode: <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Funds transfer		Cheque/RTGS/NEFT/DD Date	
Cheque/DD/RTGS/NEFT No.		Payment from Bank A/c No.	
Amount (Rs.) (i)		Pay in A/c No.	
DD charges, (Rs.)(ii)		Bank Name	
Total Amount (Rs.) (i) + (ii)		Branch	
In figures		Account Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
In Words		<input type="checkbox"/> Bank Certificate, for DD <input type="checkbox"/> Third Party Declarations	

6. SWITCH UPON MATURITY (for units in non-demat mode) (Refer Instruction 6)

Please switch ALL maturity proceeds (other than dividend proceeds) to following Schemes/Plan/Option/Sub-option upon maturity of this Scheme.

Scheme Name / Plan / Option* / Sub Option* (*Default Option may be applied in case of no information, ambiguity or discrepancy.)

DSP BlackRock

7. NOMINATION DETAILS (for units in non-demat mode) (Refer Instruction 7)☒ Individuals (single or joint applicants) are advised to avail Nomination facility. ☐ I/We wish to nominate ☐ I/We DO NOT wish to nominate.

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			Total = 100%	

8. UNIT HOLDING OPTION: It is mandatory to tick any one option or 'Account Statement Mode' option will be considered (Refer Instruction 8.)☐ In Account Statement Mode (default):

(Account Statement issued by Fund/RTA. Switch on maturity date accepted in Fund/RTA offices only.)

☐ In Demat mode, in demat account provided below: (DP will issue Demat Statement. Switch on maturity date not accepted and compulsory payout.)

Depository Participant (DP) ID (NSDL only)		Beneficiary Account Number (NSDL only)	
NSDL:	I N		
CDSL:			

Enclose for demat option: ☐ Client Master List ☐ Transaction/Holding Statement ☐ DIS Copy**9. DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and all Instructions, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only

I/We confirm that I am /We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

If NRI (✓) ☐ Repatriation basis ☐ Non-Repatriation basis

SIGNATURE (S)

Sole / First Applicant / Guardian

Second Applicant

Third Applicant

Email: service@dspblackrock.com
 Website: www.dspblackrock.com

Contact Centre: 1800 200 4499

Checklist ☒ All Investments ☐ Bank Mandate is provided ☐ Mobile no and email ID is provided
☐ PAN Card copy ☐ KYC Acknowledgement

